I hereby certify that this correspondence is being filed via EFS-Web with the United States Patent and Trademark Office on May 19, 2008.

TOWNSEND and TOWNSEND and CREW LLP

By: Kay Barclay

PATENT
Docket No.: 018062-003140US
Client Ref. No.: 2000-026

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Confirmation No.: 4398
Peter Nollert, et al.	Examiner: Gordon, Brian R.
Application No.: 10/688,010	Art Unit: 1743
Filed: October 17, 2003	REQUEST TO CORRECT ASSIGNEE AFTER PAYMENT OF ISSUE FEE PURSUANT TO 37 C.F.R. § 3.81(b)
For: METHOD AND APPARATUS FOR PREPARING LIPIDIC MESOPHASE MATERIAL	

Via EFS-Web
Mail Stop PETITIONS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is a request under the provisions of 37 C.F.R. § 3.81(b) to correct the assignee data as it will appear on the patent issuing on the above referenced application. Due to an inadvertent clerical error, the city of the assignee appearing on Item 3(B) of the Issue Fee Transmittal Form PTOL-85B filed with the U.S. Patent and Trademark Office via EFS-Web on May 1, 2008 was incorrect. The Patentee hereby requests correction of the city of the Assignee to read as follows:

Oakland, CA

Pursuant to the provisions of 37 C.F.R. § 3.81(b) and MPEP §1481.01, submitted with this Petition are the Notice of Recordation of Assignment Documents in

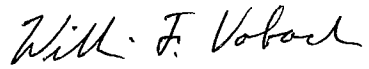
the parent case from (1) Emerald Biostructures, Inc., recorded October 9, 2002 at Reel 013422, Frame 0816; (2) Lance Stewart and Hidong Kim, recorded October 21, 2002 at Reel 013422, Frame 0821; and (3) Peter Nollert, recorded on October 21, 2002 at Reel 013422, Frame 0806, which patentee hereby states were submitted for recordation as set forth in 37 C.F.R. §3.11 before issuance of the patent on the above referenced application.

Also submitted herewith in accordance with 37 C.F.R. § 3.81(b) and MPEP §1481.01 is a Request for Certificate of Correction under 37 C.F.R. §1.323 along with the fee set forth in 37 C.F.R. §1.20(a). Patentee respectfully requests that this file be forwarded to the Certificate of Correction Branch for processing and issuance of the Request for Certificate of Correction if this Request is granted.

The Commissioner is hereby authorized to charge any fees which may be required, including the fee of $130.00 as set forth under 37 C.F.R. §1.17(i) for taking action under 37 C.F.R. § 3.81(b), or credit any overpayment, to Deposit Account No. 20-1430.

If a telephone conference would expedite processing of this Request, the Petitions Branch is invited to telephone the undersigned at 303-571-4000.

Respectfully submitted,



William F. Vobach
Reg. No. 39,411

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200
Fax: (415) 576-0300
WFV:k2b
61361277 v1

UNITED STATES PATENT AND TRADEMARK OFFICE

REC'D
FEB 26 2003

018062-003110US CWF

FEBRUARY 12, 2003

PTAS

TOWNSEND AND TOWNSEND AND CREW LLP
WILLIAM F. VOBACH
TWO EMBARCADERO CENTER, 8TH FLOOR
SAN FRANCISCO, CALIFORNIA 94111-3834

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/09/2002

REEL/FRAME: 013422/0816
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
EMERALD BIOSTRUCTURES, INC.

DOC DATE: 01/14/2002

ASSIGNEE:
REGENTS OF THE UNIVERSITY OF
CALIFORNIA
1111 FRANKLIN STREET, 12TH FLOOR
OFFICE OF THE PRESIDENT
OAKLAND, CALIFORNIA 94607-5200

SERIAL NUMBER: 09908429
PATENT NUMBER:

FILING DATE: 07/18/2001
ISSUE DATE:

JEEVON JONES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

10/21/02

OCT 21 2002 PATENT & TRADEMARK OFFICE

Attorney Docket No. 018062-003110US

Form PTO-1595
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)

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10-30-2002

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U.S. Department of Commerce
U.S. Patent and Trademark Office

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To the Honorable Commissioner of Patents and T

cuments or copy thereof

1. Name of conveying party(ies):

Emerald Biostructures, Inc.

Additional name(s) of conveying parties attached? ☐ Yes ☒ No.

ıg party(ies)

Name: Regents of the University of California

Internal Address: Office of the President

Street Address: 1111 Franklin Street, 12th Floor

City: Oakland State: CA ZIP: 94607-5200

Additional names and addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other: _____

Execution Date: January 14, 2002

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s): 09/908,429

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William F. Vobach
TOWNSEND AND TOWNSEND AND CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): ----------$40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: **20-1430**

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

William F. Vobach
Name of Person Signing
Atty. Reg. No. 39,411

William F. Vobach
Signature

October 9, 2002
Date

Total number of pages including cover sheet, attachments and document 2

Mail documents to be recorded with required cover to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

10/29/2002 LMUELLER 00000178 201430 09908429
01 FC:8021 40.00 CH

TTC Attorney Docket No. 018062-003110US

ASSIGNMENT

U.C.SF Case No. SF 2000-026

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Emerald Biostructures, Inc. 2. _____

3. _____ 4. _____

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at University of California, Office of the President, 1111 Franklin St., 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the UCSF Case No. SF 2000-026 entitled:

PROCEDURE FOR SCREENING LIPIDIC MESOPHASES USING SYRINGES AND MICROWELL PLATES

or which is found in

(a) _____ U.S. provisional application filed herewith and listing the above-named persons as inventors

(b) _____ U.S. patent application filed herewith and listing the above-named persons as inventors

(c) X U.S. application serial no. 60/219,016, filed on July 18, 2000

(d) _____ U.S. Patent No.: _____, issued _____

(e) X U.S. application serial no. 09/908,429, filed on July 18, 2001

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

14 day of JANUARY, 2002

Signature of Assignor

by Lance Stewart as President of Emerald Biostructures, Inc.

rev 7/24/00
PA 3087343 v1
DE 7045519 v1

FEB 2 6 2003

UNITED STATES PATENT AND TRADEMARK OFFICE

018062-003110 US WFV

FEBRUARY 12, 2003

PTAS

TOWNSEND AND TOWNSEND AND CREW LLP
WILLIAM F. VOBACH
TWO EMBARCADERO CENTER, 8TH FLOOR
SAN FRANCISCO, CA 94111-3834

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

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RECORDATION DATE: 10/21/2002

REEL/FRAME: 013422/0821
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
STEWART, LANCE

DOC DATE: 01/14/2002

ASSIGNOR:
KIM, HIDONG

DOC DATE: 01/11/2002

ASSIGNEE:
EMERALD BIOSTRUCTURES, INC.
7869 NE DAY ROAD WEST
BAINBRIDGE ISLAND, WASHINGTON 98110

SERIAL NUMBER: 09908429
PATENT NUMBER:

FILING DATE: 07/18/2001
ISSUE DATE:

MARCUS KIRK, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

10/21/02

OCT 21 2002 JC164 PATENT & TRADEMARK OFFICE

Attorney Docket No. 018062-003110US

Form PTO-1595
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)

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10-30-2002

Department of Commerce
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademar

102265903

or copy thereof

1. Name of conveying party(ies):

Lance Stewart

(ies)

Additional name(s) of conveying parties attached? ☒ Yes ☐ No.

Name: Emerald Biostructures, Inc.

Internal Address: _____

Street Address: 7869 NE Day Road West

City: Bainbridge Island State: WA ZIP: 98110

3. Nature of conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other: _____

Additional names and addresses attached? ☐ Yes ☒ No

Execution Date: January 11, 2002; January 14, 2002

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s): 09/908,429

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William F. Vobach
TOWNSEND AND TOWNSEND AND CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): ----------$40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: **20-1430**

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

William F. Vobach
Name of Person Signing
Atty. Reg. No. 39,411

William F. Vobach
Signature

October 9, 2002
Date

Total number of pages including cover sheet, attachments and document 3

Mail documents to be recorded with required cover to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

10/29/2002 LMUELLER 00000177 201430 09908429
01 FC:8021 40.00 CH

1. Additional name(s) of conveying party(ies):
(Continued from Page 1)

Hidong Kim

2. Additional name(s) and address(es) of receiving party(ies):
(Continued from Page 1)

3. Additional application number(s) or patent number(s):
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

TTC Attorney Docket No. 018062-003110US

ASSIGNMENT

U.C.SF Case No. SF 2000-026

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Lance Stewart 2.Hidong Kim

3. 4.

hereby sells, assigns and transfers to ASSIGNEE, Emerald Biostructures, Inc., a Washington Corporation, having its offices located at 7869 NE Day Road West, Bainbridge Island, WA 98110, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the UCSF Case No. SF 2000-026 entitled:

PROCEDURE FOR SCREENING LIPIDIC MESOPHASES USING SYRINGES AND MICROWELL PLATES

or which is found in

(a) _____ U.S. provisional application filed herewith and listing the above-named persons as inventors

(b) _____ U.S. patent application filed herewith and listing the above-named persons as inventors

(c) X U.S. application serial no. 60/219,016, filed on July 18, 2000

(d) _____ U.S. Patent No.: _____, issued _____

(e) X U.S. application serial no. 09/908,429, filed on July 18, 2001

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

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AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

11 day of January, 2002

14 day of January, 2002

rev 7/24/00
PA 3087343 v1
DE 7045520 v1

UNITED STATES
PATENT AND
TRADEMARK OFFICE

REC'D
FEB 26 2003

018062-003110 US WFV

FEBRUARY 12, 2003

PTAS

TOWNSEND AND TOWNSEND AND CREW LLP
WILLIAMS F. VOBACH
TWO EMBARCADERO CENTER, 8TH FLOOR
SAN FRANCISCO, CA 94111-3834

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Washington, DC 20231
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RECORDATION DATE: 10/21/2002

REEL/FRAME: 013422/0806
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
NOLLERT, PETER

DOC DATE: 02/05/2002

ASSIGNEE:
CALIFORNIA, REGENTS OF THE
UNIVERSITY OF
1111 FRANKLIN ST., 12TH FLOOR
OFFICE OF THE PRESIDENT
OAKLAND, CALIFORNIA 94607-5200

SERIAL NUMBER: 09908429
PATENT NUMBER:

FILING DATE: 07/18/2001
ISSUE DATE:

JEEVON JONES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

10/21/02

OCT 21 2002

Attorney Docket No. 018062-003110US

Form PTO-1595
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)

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PATENTS ON

10-30-2002

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To the Honorable Commissioner of Patents and Trademarks. Please r

1. Name of conveying party(ies):

Peter Nollert

Additional name(s) of conveying parties attached? ☐ Yes ☒ No.

3. Nature of conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other: _____

Execution Date: February 5, 2002

2. Name and address of receiving party(ies)

Name: Regents of the University of California

Internal Address: Office of the President

Street Address: 1111 Franklin St., 12th Floor

City: Oakland State: CA ZIP: 94607-5200

Additional names and addresses attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s): 09/908,429

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William F. Vobach
TOWNSEND AND TOWNSEND AND CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): ----------$40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: **20-1430**

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

William F. Vobach
Name of Person Signing
Atty. Reg. No. 39,411

William F. Vobach
Signature

October 9, 2002
Date

Total number of pages including cover sheet, attachments and document 2

Mail documents to be recorded with required cover to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

10/29/2002 LMUELLER 00000179 201430 09908429
01 FC:8021 40.00 CH

TTC Attorney Docket No. 018062-003110US

ASSIGNMENT

U.C.SF Case No. SF 2000-026

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Peter Nollert 2. _____

3. _____ 4. _____

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at University of California, Office of the President, 1111 Franklin St., 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the UCSF Case No. SF 2000-026 entitled:

PROCEDURE FOR SCREENING LIPIDIC MESOPHASES USING SYRINGES AND MICROWELL PLATES

or which is found in

(a) _____ U.S. provisional application filed herewith and listing the above-named persons as inventors

(b) _____ U.S. patent application filed herewith and listing the above-named persons as inventors

(c) X U.S. application serial no. 60/219,016, filed on July 18, 2000

(d) _____ U.S. Patent No.: _____, issued _____

(e) X U.S. application serial no. 09/908,429, filed on July 18, 2001

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executed this

5 day of February, 2002

Signature of Inventor(s)

Peter Nollert

rev 7/24/00
PA 3087343 v1
DE 7045404 v1